

Please Print or Type

1. Mainstar Account Information

Account Name:			
Account Number:		Social Security Number:	

2. Current Custodian/Trustee Information

Custodian Name:		Account Number:	
Custodian Address:			
Custodian Phone:		Custodian Fax:	

3. Transfer – Rollover Options

TRANSFER <i>Custodian/Trustee to Custodian/Trustee (Non Reportable IRS Event)</i>	ROLLOVER <i>Administrator to Custodian (Reportable IRS Event)</i>
<input type="checkbox"/> Full or <input type="checkbox"/> Partial	<input type="checkbox"/> Full or <input type="checkbox"/> Partial
<input type="checkbox"/> Traditional IRA <input type="checkbox"/> SEP IRA <input type="checkbox"/> Roth IRA <input type="checkbox"/> SIMPLE IRA <input type="checkbox"/> Non-Qualified	<input type="checkbox"/> 401(k) <input type="checkbox"/> Profit Sharing Plan <input type="checkbox"/> 403(b) <input type="checkbox"/> Other _____ <i>Your employer may require additional forms to process your request.</i>
Are any of these funds/assets from an Inherited Account? <input type="checkbox"/> Yes <input type="checkbox"/> No	

4. Type of Transfer

****To ensure timely processing, please ensure that the liquidation process is complete and cash is available prior to submitting this request.****

Full Transfer/Rollover	Partial Transfer/Rollover
Transfer all cash and assets to Mainstar Trust and close your current account. <input type="checkbox"/> All Available Cash <input type="checkbox"/> All Cash & Assets (list assets in Section 5 below)	Cash <input type="checkbox"/> All Available Cash <input type="checkbox"/> Partial Cash in the amount of \$ _____ Assets <input type="checkbox"/> Transfer asset(s) listed in Section 5 below.

5. Assets to be Transferred

If transferring assets in-kind a copy of current account statement is required.
 I authorize Mainstar Trust to re-register the below assets:

Asset Name	Symbol or CUSIP	Quantity

6. Delivery Options to Current Custodian

Mainstar Trust will submit this request by regular US Postal Service. If you prefer an expedited service, please indicate the service, the billing number and billing address below.

- Regular US Postal Service (default)
 Fax (only if current custodian will accept by fax)
- Overnight Delivery (charge fee to Mainstar account)
 Accountholder Initiated (Accountholder submitted to current Custodian.)
- Use my overnight account (fee charged to billing number below)

Company: _____ Billing Number: _____ Overnight Service: _____
 Address: _____

7. Delivery Options from Current Custodian to Mainstar

*Mainstar will attach delivery instructions

Default payment election for cash should be sent by check to Mainstar. If wire is preferred, please elect below.

- Wire funds to Mainstar Trust. I understand a fee may be charged by my current Custodian and may reduce the balance requested.

8. Accountholder Signature

Account Name:		Date:	
_____ Accountholder/Trustee Signature			

If applicable: The following section must be completed in full if a financial advisor is on a mutual fund being transferred.

Advisor Name:		Advisor ID Number:	
Advisor Address:		Advisor Phone:	
		Branch Number:	
		Broker Dealer Name:	

For Official Use Only

Mainstar Trust Acceptance

Mainstar Trust has established the account type of:

- Traditional IRA
 SEP IRA
 Roth IRA
 Inherited IRA
 Inherited Roth IRA
- SIMPLE IRA
 Other: _____

As indicated for this client and agrees to accept the assets of said plan. Account Number: _____

See Attached Delivery Instructions

By: _____
 Mainstar Trust Authorized Signature Date

Signature Medallion Guarantee